

OFFICE OF THE REGISTRAR, YENEPOYA (DEEMED TO BE UNIVERSITY)

Ref: No. Y/REG/ACA/Ph.D/2024

08.07.2024

NOTIFICATION

Sub: Ph.D. Programme – January 2025 batch

Yenepoya (Deemed to be University) invites applications from the eligible candidates for Ph.D Programme – January 2025 batch. Applications shall be submitted in the prescribed format, which can be downloaded from the University website, complete in all respects together with registration fee of Rs. 3,500/- (non-refundable) so as to reach the undersigned latest by 30.09.2024. Envelope containing the completed application should be superscribed as "Application for Ph.D. Programme – "January 2025 batch".

For further details, the brochure for the Degree of Doctor of Philosophy (Ph.D.) Programme in various Faculties of Health, Science, Arts And Social Sciences & Allied Healthcare Professions of Yenepoya (Deemed to be University) published on the University website : www.yenepoya.edu.in may be referred to.



REGISTRAR

To:

The Director, I.T. – {with a request to upload the notification along with the application format and the brochure attached herewith}

Copy to:

1. Director, M.Phil-Ph.D. Programme
2. Finance Officer
3. Controller of Examinations – for information.
4. File copy



University Road, Deralakatte, Mangalore, 575018, India
Phone +91 824 2203644 +91 824 2204668 Extn: 2037
Email: registrar@yenepoya.edu.in, Website : www.yenepoya.edu.in

**APPLICATION FOR ADMISSION TO Ph.D. PROGRAM 2025-2026
JANUARY 2025 BATCH
(To be filled in by the Applicant in BLOCK letters)**

Applications should be routed through the proper channel – Head of department, Head of Institution

[Incomplete application will not be considered]

Last date for submission of the completed application with necessary documents on or before 4.00 pm on 30.09.2024

Link for online application: <https://forms.gle/mfWPaz5qC4awv7ZP9>

Candidates who have applied online also need to submit the hard copy of the applications with all necessary documents on or before 4.00 pm on 30.09.2024

Date of submission of the application:

Passport size
photo to be
pasted here

GENERAL INFORMATION:

- 1) Name :
- 2) Gender : Male/ Female
- 3) Date of Birth : Day____Month____Year_____
- 4) Place of Birth
Place :
State :
Country :
- 5) Religion : Hindu / Christian / Muslim Any other (specify)
- 6) Domicile certificate : *Enclose attested copies (gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable*
(Certificate which indicates nativity: Any one of the following - Domicile certificate (Nativity Certificate), Passport copy)

(You have to enclosed ANY ONE among these and mention here which one you have enclosed _____)

- 7) Birth certificate: *Enclose attested (gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable* (Enclose copy)
- 8) Category (**enclose certificate**) Tick (✓) the appropriate category :
General / SC / ST / OBC/ Physically handicapped
Enclose attested copies (gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable
- 9) Marital Status : Married / Single
- 10) Mother Tongue :
- 11) Nationality (*Country of Origin*) :
- 12) Belong to which state in India :
- 13) Passport No: Valid Until: Issued by:

(enclose Passport copy) *Attested copies (gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable*

- 14) If Indian Citizen, whether NRI :
- 15) Father's Name :
- 16) **Father's annual income** :
- 17) Mother's Name :
- 18) **Mother's annual income**

NOTE: for Father's and Mother's annual income : If working, enter the income, if retired, enter the amount of pension, if neither earning income nor getting pension enter NIL.

- 19) Guardian's Name (only if Father is deceased):
Relationship :
Profession of Father/ Guardian :
- 20) Annual income of the applicant :
-

21) Official Address of the applicant :

Pin :

Fax :

Phone :

Email :

Permanent Address of the applicant :

Pin :

Fax :

Phone :

Email :

22. Location of residence (tick the appropriate) : Rural / Urban

23. Details of Aadhar :

a) Aadhar Number :

b) Name as in Aadhar :

c) Address as in Aadhar :

(Aadhar copy *enclose attested copies; attested by gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable*)

24. Name and Address of Local Guardian (if any) :

Pin :

Phone :

Email :

25) Blood Group :

26) Identification mark :

27) References: (At least two person holding responsible positions and not related to the Applicant)

- I) Name :
- Address :
- Phone :
- Email :
- Fax :
- II) Name :
- Address :
- Phone :
- Email :
- Fax :
-

Details of academic qualifications : *NOTE: Please attach attested copies (gazetted officer/ Principal/ HOD with appropriate seal) of statement of marks in support of the above details. Self attestation is not acceptable*

1. S.S.L.C Secondary School Leaving Certificate (*enclose marks card attested by (gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable*)

- Year of Joining :-
- Year of Passing:-

2. P.U.C Higher Secondary (*enclose marks card attested by (gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable*)

- Year of Joining:-
- Year of Passing:-

3. Graduate (*enclose semester wise and consolidated marks card, Degree certificate attested by (gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable*)

- Specialty (subjects):-
- Year of Joining :-
- Year of Passing :-
- Institution Studied :-
- University :-
- Percentage of Marks Obtained:-

4. Postgraduate degree,(i.e., Specialty : MA History, MSc Chemistry, MBA HR, MDS Prosthodontics, MD Medicine, MS, MPT Neuro, Mpharm Pharmacognosy, etc., as mention in your Post graduate degree certificate) *enclose semester wise and consolidated marks card, Degree certificate; attested by gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable*)

- Specialty (subject):- History, Chemistry, etc.
- Year of Joining :-
- Year of Passing :-
- Institution Studied :-
- University :-
- Percentage of Marks / CGPA obtained :-

NOTE: a)If the final marks is in CGPA, an University document where the formula for conversion to percentage of marks is specified should be also **enclosed**.

b) A copy of the syllabus of the Post graduate program of the University where the candidate has completed his/her post graduation or the link to the syllabus in the website of the University in the box provided below:

- Any other Additional Qualification (*enclose marks card and certificate attested by (gazetted officer/ Principal/ HOD with appropriate seal). Self attestation is not acceptable*)
- Specialty :-
- Year of Joining:-
- Year of Passing:-
- Institution Studied:-
- University:-
- Percentage of Marks Obtained:-

c) No Objection Certificate from present employer (**enclose original certificate**)

B. To be filled by applicants currently employed by institutes other than those affiliated to Yenepoya (Deemed to be University)

a. Name of the Institute:

d. Address of the Institute:

e. Category of the Institute where the applicant is employed:
(tick (√) only the appropriate)

Medical College / Dental College / Nursing College / Physiotherapy / Pharmacy/
Basic Sciences / Allied Health Professions / Ayurveda College / Homeopathy
College / Naturopathy College / Arts and Social Science / Commerce and
Management

f. Whether institute within India /Out side country (if so name the country)

g. Whether institute is a government organization/ not government organization:

h. Does the institute where the applicant is working have a post graduate program in the department the applicant proposes to work
If yes, mention the year in which the program was initiated.

Yes/ No

NOTE: The department in which the external part time candidate is working should have a PG program in the subject or the Institute should have a research centre otherwise they are not eligible to apply for the PhD program

C) To be filled by applicants who are currently employed by institutes affiliated to Yenepoya (Deemed to be University)

The internal part time candidate who have joined to the concerned department, and who have not completed one year of joining at the time of Ph.D. entrance exam, they are not eligible to write the exam

Date of joining :

Name of the college from Yenepoya (Deemed to be University) :

Name of Institution last studied:

II. DECLARATION BY THE APPLICANT

I wish to apply for admission to the Ph.D. Program of Yenepoya (Deemed to be University), Mangalore, as _____ (**Full-time / Internal Part-time / External Part-time**) scholar subject (**which the work is proposed**) in _____ and I declare that to the best of my knowledge and belief, the above particulars are true. I agree that the admission is at the sole discretion of the management.

I further agree, if admitted, to conform to the rules and regulations at present in force or that may thereafter be made for the administration of the college and hostel. I undertake, so long as I am a student of the university, I will not do anything unworthy of a student or anything that will interfere with the orderly working and discipline.

Place : _____

Date : _____

Applicant's Signature

(Kindly submit the completed application with all the necessary documents as given in the check list and tick (√) against 'yes' in the check list if the document is submitted

CHECKLIST OF DOCUMENTS TO BE SUBMITTED

- | | | |
|---|---|---------|
| 1 recent passport size photo to be pasted + 2 copies attached | : | Yes/ No |
| Attested copy of Graduate degree certificate and marks cards | : | Yes/ No |
| Attested copy of Postgraduate degree certificate and marks cards | : | Yes/ No |
| Attested copy of SSLC and PUC marks cards | : | Yes/ No |
| Research proposal (3 copies) submitted (in triplicate) in prescribed format | : | Yes/ No |
| One soft copy of the Research proposal | : | Yes/ No |
| No objection certificate from present employer | : | Yes/ No |
| Attested copy of the domicile certificate, passport, birth certificate etc | : | Yes/ No |
| For applicants who are employed at the time of submission of application | | |
| Application is forwarded by (signature and seal) | | |
| Head of Department | : | Yes/ No |
| Head of Institution | : | Yes/ No |

Applicant + Registration fee Rs. 3500/- (Non- Refundable)

NOTE: Cash/ cheques / DD's are not accepted.

Link for Ph. D. application fee payment :- <https://rzp.io/l/HFieWANA>

Details of the application fee payment (after payment of the application fee) (enclose fee receipt)

a) Name of the account holder from where the money is transferrd:

Ms. / Mr. /Dr. _____

b) Reference number:

c) Date of the money transfer:

Entrance Examination

Date : 12.11.2024
Type of Questions : Questions with multiple ch5oice answers.
Number of questions : 60
Total Marks : 60
Research Methodology : 30
Subject specific : 30

FOR OFFICE USE ONLY

Application completed is received on:

Checked by :

Registration No :

Receipt No :

ACKNOWLEDGEMENT SLIP

(Please bring this slip with you when you are called for entrance and interview)

Received the completed application for admission to the PhD Program, at Yenepoya (Deemed to be University), for the academic year 2025-2026 from Dr./ Mr. / Ms.

Allotted application no.

Registrar
Yenepoya (Deemed to be University)

Calendar of Events of January 2025 batch

Sl. No.	Name of the Events	Date of the Events
1.	Call for the applications	30.07.2024
2.	Last date for submission of applications	30.09.2024
3.	Entrance examination	12.11.2024
4.	Announcing result of entrance examination	22.11.2024
5.	Interview (Preliminary)	2-5 th December 2024
	Final Ph.D. Admission Committee Interview	16 - 21 th December 2024
6.	Course work reporting date	16 th , 17 th January 2025
7.	Orientation Day	20.01.2025
8.	Course work start	21.01.2025

P.T.O

FORMAT FOR THE PROPOSED WORK FOR Ph.D PROGRAM

The proposal should be made using this format. Please do not fill the details in this page.

(TO BE SUBMITTED - ALONG WITH THE COMPLETED APPLICATION - IN TRIPLICATE)

Name of the Applicant :

Official Address :

Permanent Address :

Subject in which the work is proposed :

Proposed title of the research :

Introduction/background (Present the literature survey in brief and the significance of the problem in simple terms leading to the proposed aim and objectives of the proposed work)

Proposed Aim :

Proposed Research question, Objectives, hypothesis:

Novelty and Social relevance of the work :

Methods (Outline the experiments that you will use to test your hypotheses):

Proposed timeline (Summarize your work plan and milestones for completion of your project)

Clearance certificates, Health & Safety Requirements (list the permits **that are required** for the study, e.g., human ethics, animal ethics, biosafety permission, validation of tools if any, etc.)

NO SAMPLE COLLECTION / DATA COLLECTION CAN BE DONE TILL THE START OF THE PROJECT.

PROBABLE REQUIREMENTS : Equipment needed, Budget estimate

Signature of the applicant:

Date :



YENEPOYA

CENTRE
PH.D.
PROGRAM



Centre Ph.D. Program

2009

Yenepoya (Deemed to be University)

Email: ddphd@yenepoya.edu.in

Centre Ph.D. Program



AERIAL VIEW OF YENEPOYA *(Deemed to be University)*

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PREAMBLE

The Ph.D. program was initiated at Yenepoya (Deemed to be University) in 2008 adapting the UGC regulations. The regulations governing the Ph.D. program at Yenepoya (Deemed to be University) have been amended from time to time in accordance with the gazetted amendments of the UGC.

The Yenepoya (Deemed to be University) calls for applications for enrollment of candidates for the Ph.D. program twice a year, July session and January session.



VISION

To inspire and nurture the Ph.D. scholars to become independent researchers.

MISSION

The mission of the Ph.D. program is to empower the Ph.D. scholars by educating them on the fundamental concepts of research, biosafety, scientific writing, and research and publication ethics, which will make them independent researchers.



OBJECTIVES

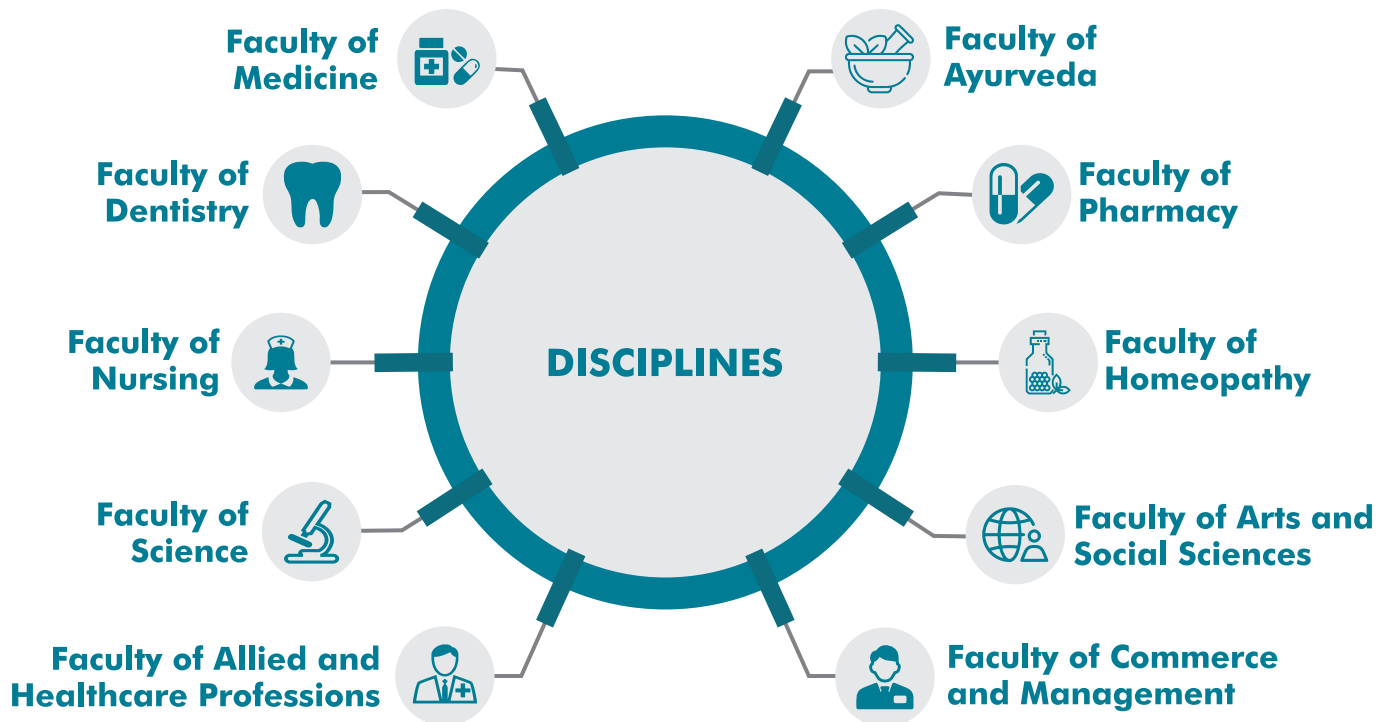
- To extend support to the Ph.D. scholars by conducting workshops in research related topics
- To conduct half yearly review by the Doctoral Advisory committee for assessing the progress of the Ph.D. Scholars

NOMENCLATURE

The degree awarded by the university is "Doctor of Philosophy" in the Faculty of Medicine/ Dentistry/ Nursing/ Allied and Healthcare Professions/ Science/ Pharmacy/ Ayurveda/ Homeopathy/ Commerce and Management/ Arts and Social Sciences.



Centre Ph.D. Program



ELIGIBILITY CRITERIA

The eligibility criteria for admission to Ph.D. programs under various faculties are as follows:

Qualifications

Medical and Dental :

Candidates with M.D. and M.S. or Medical P.G. Diploma, M.D.S. or M.Sc. in medical subjects, including M.Sc. in oncology and M.Sc. in Human Genetics are eligible for enrollment for Ph.D. course.

Pharmacy:

Candidates with M.Pharm, Pharm D and Pharm-D (PB) degree awarded by a College/University recognized by AICTE/PCI/RGUHS or a P.G. degree in Pharmacy are eligible for enrollment for Ph.D. course in Pharmacy of this University.

Ayurveda:

Candidates with Ayurveda Vachaspathi (M.D. (Ayu)/ M.S. (Ayu) in Ayurveda) in all the specialties or an equivalent P.G. qualification awarded by any other College/University recognized by CCIM are eligible for enrollment for Ph.D. course in Ayurveda of this University.



ELIGIBILITY CRITERIA

Homeopathy:

Candidates with M.D. in Homeopathy degree or an equivalent P.G. qualification awarded by a College /University recognized by CCH are eligible for enrollment for Ph.D. course in Homeopathy of this University.

Nursing:

Candidates with M.Sc./M.Phil in Nursing degree or a P.G. Degree in Nursing considered as equivalent by Yenepoya (Deemed to be University) awarded by a College/University recognized by INC.

Arts, Science, Commerce, and Management:

M.A. (Master of Arts), M.Sc. (Master of Science), M.Com (Master of Commerce), M.B.A. (after BA /B.Sc./B.Com), P.G. Diploma in Management of 2 year duration (after B.A./B.Sc./B.Com).



Marks/ Grade

- Candidates for admission to the Ph.D. Programme with a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7- point scale are eligible to apply for Ph.D.
- A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, is allowed for those belonging to SC/ ST/ OBC (non-creamy layer)/ differently abled and other categories of candidates as per the decision of the UGC, or those who had obtained their Masters degree prior to 19th September, 1991
 - Candidates having no clear grading/marks in their Post Graduate examination are considered for the Ph.D. program subject to approval from the equivalence committee
 - A relaxation of 5% of marks, from 55% to 50% or an equivalent relaxation of grade, may be allowed for those belonging to SC/ ST/ OBC (non creamy layer), differently abled, other categories of candidates who have cleared the M.Phil., and candidates who have cleared the MD/MS/M.Sc./DM/M.Ch./DNB/ MDS Course



PROCEDURE FOR ADMISSION

Application Procedure

- Application for admission for the Ph.D. program is made in the prescribed forms, which is made available at the office of the Registrar/ website www.yenepoya.edu.in
- The admission of the candidates involves two stages processes the entrance test and interview.
- The Entrance Test is conducted at the Yenepoya (deemed to be University) and the date is notified in advance.
- The syllabus of the Entrance Test consists of 50% of research methodology and 50% is subject specific.
- Candidates with a minimum of 50% in the entrance examination are considered as eligible for the interview.
- An interview/viva voce is organized by the Ph.D. admission committee constituted by the Yenepoya (Deemed to be University).



PROCEDURE FOR ADMISSION

Allocation of Research Supervisor

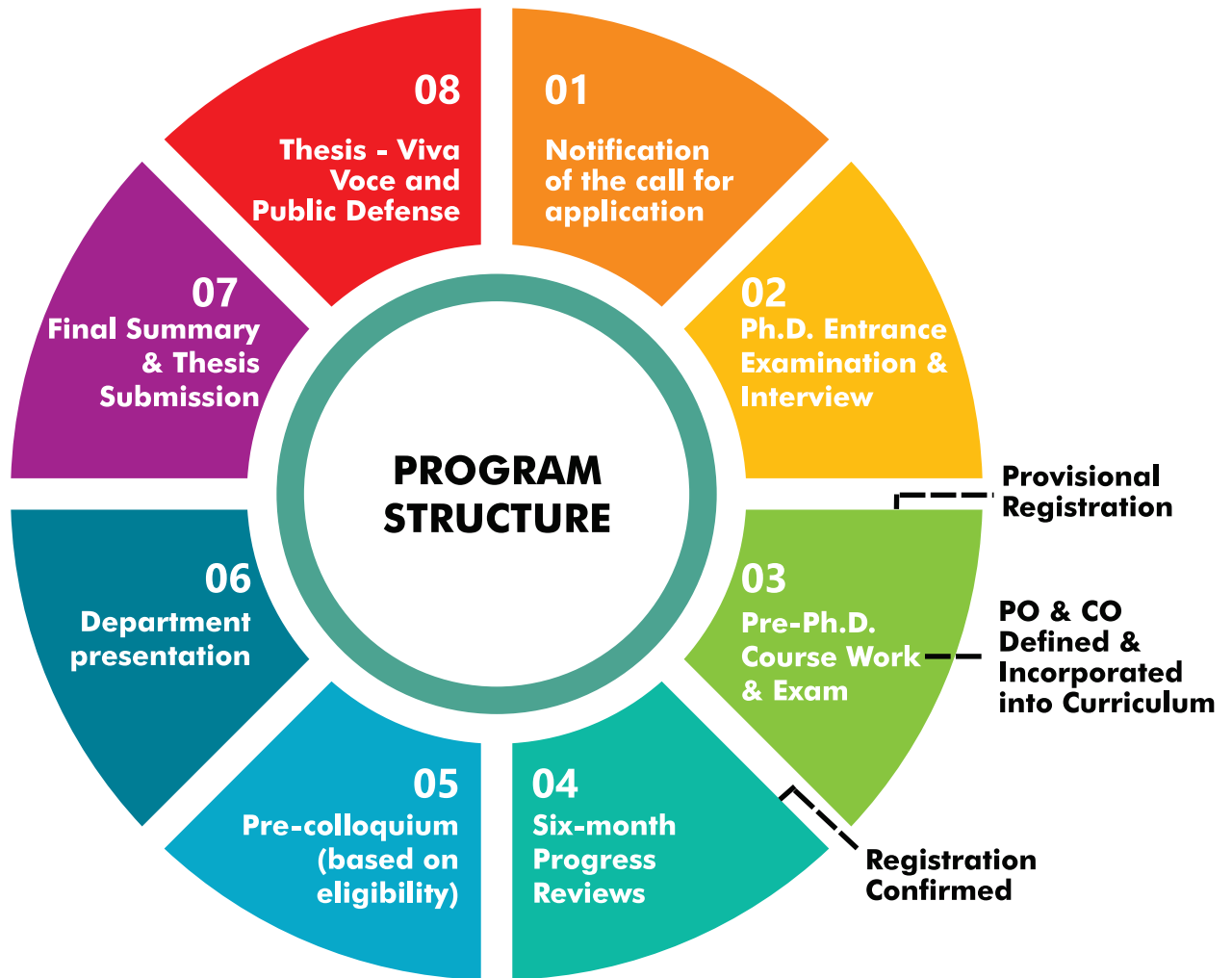
The allocation of Research Supervisor for a selected research scholar shall be decided by the Department based on the number of scholars per Research Supervisor and the available specialization among the Supervisors at the time of interview/ viva voce. In case of topics which are of inter disciplinary nature, a Co-Supervisor from outside the Department/ Faculty/ College/ Institution maybe allocated.



Centre Ph.D. Program

Course Duration
4 - 6 years

2 Sessions
January & July



PROGRAM STRUCTURE

The provisionally selected Ph.D. scholars are expected to do a Pre-Ph.D. coursework for one semester of 12 credits. The Pre-Ph.D. coursework is a preparation for the Pre-Ph.D. examination which is conducted after the coursework. The provisional registration is confirmed on successful completion of the Pre-Ph.D. examination.

The Ph.D. scholars submit a half yearly progress report every six months and the progress of the Ph.D. scholar is assessed every six months by the Doctoral Advisory Committee. The DAC permits the Ph.D. scholar to submit the rough draft of the thesis once, all the research objectives are completed, two original research articles related to the research are accepted/ published and two conference presentations are done. A pre-colloquium is conducted in presence of the DAC members followed by submission of the final synopsis and final thesis to the Controller of Examinations of the university. The thesis is adjudicated by one Indian and one foreign adjudicator. The viva voce is conducted in the presence of the Indian adjudicator followed by the public defense. The Ph.D. scholar is conferred the Doctor of Philosophy degree at the ensuing convocation whenever it is held next.



Centre Ph.D. Program

STUDENT SUPPORT

- **Orientation for the Research Scholars and Research Supervisors**

The Pre-Ph.D. coursework starts with an orientation program for the provisionally selected students and their research supervisors. An expert is invited to speak to the provisionally selected students at the orientation program.

- **Workshops**

Workshops are conducted regularly for supporting the Ph.D. scholars in various aspects of academic research writing. A total of 15 workshops were conducted from 2016-2022.



ANNUAL EVENTS

Assessment of the progress of the PhD scholars is done twice every year during the months of February-March and August-September in the presence of the Doctoral Advisory Committee.

OUTCOMES OF THE Ph.D. PROGRAM

Ph.D. degrees awarded

Till date 150 Ph.D. degrees are conferred by the University

Research publications

A total of 375 original research articles are published by the Ph.D. scholars. The highest impact factor is 14.224 and the highest number of citations is 103

Patents

The research by the Ph.D. scholars has resulted in 22 patents applications, and 1 patent is awarded

FUTURE PLANS

- Increase the intake of students for Ph.D. program
- Target publications in high impact factor journals
- To initiate an integrated Ph.D. program





PROGRAM SUMMARY

(as on January 19, 2024)

- | | | | |
|----------------------------|-------|--------------------------|-------|
| ● No. of Recognized Guides | : 256 | ● No. of Publications | : 357 |
| ● No. of Registrations | : 488 | ● No. of Patents Applied | : 22 |
| ● No. of Ph.D.s Ongoing | : 338 | ● No. of Patent Granted | : 01 |
| ● No. of Ph.D.s Awarded | : 150 | ● No. of Collaborations | : 55 |

Centre Ph.D. Program

CENTRAL RESEARCH FACILITIES

- ☞ Medical Hospital
- ☞ Dental Hospital
- ☞ Physiotherapy Clinic
- ☞ Microbiology Labs
- ☞ Cell Culture Lab
- ☞ Stem Cells Culture Lab
- ☞ Molecular Biology Lab
- ☞ Nano-science Lab
- ☞ Multi-OMICS Lab
- ☞ Central Library
- ☞ Institutional Ethics Committees for working on Human and Animals
- ☞ Small and Large Animal Houses
- ☞ Advanced Simulation Center
- ☞ Advanced Surgical Skill Enhancement



Centre Ph.D. Program



Ph.D. Program Orientation



Pre Ph.D. Examination



Public Defense



Convocation & Degree Certificate

Centre Ph.D. Program



RABBI ZIDNI 'LMA

YENEPOYA
(DEEMED TO BE UNIVERSITY)





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Email: registrar@yenepoya.edu.in | Website: www.yenepoya.edu.in



Ph.D. PROGRAM – JANUARY 2025 BATCH

LIST OF GUIDES WITH AVAILABLE VACANCIES

Sl.No.	Name of the Research Supervisors under Y(DU)	Department/ Subject	Area of expertise	No. of Vacancies
YENEPOYA MEDICAL COLLEGE				
1.	Dr. Jalaluddin Akbar K. C. Professor and Head	Surgical Oncology	-	3
2.	Dr. Mariam Anjum Ifthikar Associate Professor	Surgical Oncology	-	1
3.	Dr. Amar Rao Senior Resident	Surgical Oncology	-	1
4.	Dr. Gangadhara Somayaji K. S. Professor	ENT	-	2
5.	Dr. Vijayalakshmi S. Professor	ENT	-	3
6.	Dr. Sunita Saldanha Professor and Head	Hospital Administration	Hospital Administration	2
7.	Dr. Nagaraj Shet Associate Professor/Assistant	Hospital Administration	Health Insurance Health Financing Hospital Operations Healthcare Marketing Management	2
8.	Dr. Prema Saldanha Professor and Head	Pathology	-	5
9.	Dr. Indira Sathyashankar Puthran Professor	Pathology	Hematology, Immunohematology & Blood Transfusion	7
10.	Dr. Bhagya B.Sharma Deputy Director	Environmental Science	-	1
11.	Dr. Leena Pramod, Assistant Professor cum curator	Forensic Medicine (Museology)	Preservation, Plastination Techniques, Foetal staining (ossification centre), Osteology, Serology, Research & Publication Ethics	1
12.	Dr. Muhammad Nasir Ahmed Associate Professor	Forensic Medicine and Toxicology	-	1
13.	Dr. Akshaya K. M. Professor and Head	Community Medicine	-	1
14.	Dr. Amar Sunil Lobo Associate Professor	Medical Microbiology	Medical Microbiology, Antimicrobial resistance	1
15.	Dr. Nivedita L Rao Professor	Biochemistry	Saliva based diagnostics in systemic diseases-thyroid, malaria, urticaria, preeclampsia, pulmonary TB, autism Serum fluorescent	1

			Advanced glycation end products and vascular complications in diabetes, stroke, dialysis patients Nutrition and healthy lifestyle knowledge, attitude and practices of different populations Cell-calcium signalling in health and disease	
16.	Dr. B. Kalpana Professor	Physiology	-	1
17.	Dr. M.S. Moosabba Professor and Head	General Surgery		2
18.	Dr. Shankara Narayana P. Professor	Anaesthesia	Regional Anaesthesia Orthopaedic Anesthesia	7
19.	Dr. Anil Kakunje Professor and Head	Psychiatry	-	1
20.	Dr. Ravichandra Karkal Professor	Psychiatry	Depression, Anxiety Disorders, Personality disorders, Stress and trauma related disorders, Psychosomatic disorders, Child and Adolescent Mental Health, Digital Mental Health	1
21.	Dr. Rashmi Jain Additional Professor	Ophthalmology	Ophthalmology. Optometry, medical education, health profession education and Simulation	2
22.	Dr. Sheetal Savur Professor and Head	Ophthalmology	-	2
23.	Dr. Vidya Hegde Professor	Ophthalmology	-	1
24.	Dr. Uma Kulkarni, Professor	Ophthalmology	-	1
25.	Dr. Janardhana Aithala Professor	Orthopaedics	-	2
26.	Dr. Mujeebu Rahiman Professor and Head	Urology	Urinary Cancer (Uro oncology), Robotic surgeries, Kidney Transplant, Prostate.	2
27.	Dr. Altaf Khan Additional Professor In Urology	Urology	Endourology, Uro-Oncology, Pediatric Urology	2
28.	Dr. Santosh Pai B.H. Professor and Head	Nephrology	Nephrology	6
29.	Dr. Mohammed Guthigar Head and Associate Professor	Social Work	Social Work, Tribal development, NGo management, Women's empowerment, Social Development, Medical Social Work	1
30.	Dr. Irene Veigas Assistant Professor	Social Work	Gender,women, adolescent health, community development, human	2

			rights	
31.	Dr. Gladys Rida Lobo Assistant Professor	Humanities and social sciences	Social work: Women Adolescents, and children, substance abuse, Health	2
32.	Dr. Iffat Masood Javed Assistant Professor	Islamic Studies and Research	Islamic History Awareness of programm in the field of community Health	2
DEPARTMENT OF LIBRARY AND INFORMATION SCIENCE				
33.	Dr. K. S. Ali Chief Librarian Assistant Professor stage 1	Library and Information Science	Library and Information Science	2
YENEPOYA DENTAL COLLEGE				
34.	Dr. Sham S. Bhat Dean and Professor	Pedodontics	Special care dentistry Nanomaterials Dentistry	1
35.	Dr. Maji Jose, Professor and Vice Principal,	Oral Pathology	-	1
36.	Dr. B.T. Nandish Professor	Dental Materials	-	1
37.	Dr. Mohammed Hasan Sarfaraz Professor	Prosthodontics	-	1
38.	Dr. Sanath Shetty Professor and Head	Prosthodontics	-	1
39.	Dr. Mallika Shetty Additional 1 Professor	Prosthodontics	-	2
40.	Dr. Rajesh Shetty Professor	Prosthodontics	Prosthodontics, Implantology	1
41.	Dr. Rekha P Shenoy Professor and Head	Public Health Dentistry	Dental Public Health, Oral disease epidemiology, Oral Health Promotion	1
42.	Dr. Joyce Sequeira Professor & Head	Oral and Maxillofacial	-	4
43.	Dr. Jagadish Chandra Professor and Head	Oral and Maxillofacial Surgery		2
44.	Dr. Arvind Rao H. T. Additional Professor	Oral and Maxillofacial Surgery	-	2
45.	Dr. Sharan S. Sargod Professor and Head	Pediatric and Preventive Dentistry	Cariology, Dental traumatology	2
YENEPOYA NURSING COLLEGE				
46.	Dr. Renita Priya D' Souza Associate Professor	Child Health Nursing	-	2
YENEPOYA PHARMACY AND RESEARCH CENTRE				
47.	Dr. Mohammed Gulzar Ahmed Principal	Biochemistry	-	1

48.	Dr. Ayesha Sultana Associate Professor	Pharmaceutics	Novel drug delivery systems	2
DEPARTMENT OF STATISTICS/BIO STATISTICS				
49.	Dr. Ismail B. Professor	Statistics	General linear models, Hybrid Predictive modles	2
YENEPOYA INSTITUTE OF ART, SCIENCE, COMMERCE AND MANAGEMENT				
50.	Dr. Sakeena Nasser Professor and Head	Humanities and Social Science		2
51.	Dr. Savitha Professor	Humanities and Social Science	-	3
52.	Dr. Namratha B. Assistant Professor	Chemistry	Green Chemistry, Bioorganic Chemistry, Medicinal Chemistry	2
53.	Dr. Sharath Kumar Assistant Professor Grade II	Chemistry	Synthetic organic Chemistry and Medicinal chemistry	4
54.	Dr. Sareen Sheikh Assistant Professor, Grade II	Chemistry	-	1
55.	Dr. Pavithra M. Assistant Professor – III Department of Food Science and Nutrition	Food Science and Nutrition	-	1
56.	Prof. (Dr.) Rejct Paul Professor and Head	Forensic Scienes		4
57.	Dr. Anisa Anjum Malik Assistant Professor II	Food science and Nutrition	-	1
58.	Dr. Anna Associate Professor of English	English	English Literature & Culture, Gender Studies, Postcolonial literature, Contemporary Literature, Comparative Literature, Eco- criticism in literature, Feminism and Linguistics, ELT	3
59.	Dr. Abdul Haleem Quraishi Associate Professor Stage II,	Commerce and Management	Financial Management Human Resource Management Marketing Management Artificial Intelligence in Accounting Taxation Auditing	7
60.	Dr. R. Kaviyarasi Associate Professor,	Computer Science		4
61.	Dr. S. Selvaraj Associate Professor,	Computer Science	Data analysis, Data mining, Predictive analytics	6
62.	Dr. Suresh Babu Raghavan Associate Professor, Stage II Cum Head Placement	Management	Marketing Management, HR Management, Strategic Management, Project Management	6
63.	Dr. Rathnakara Shetty P. Associate Professor Stage 1	Computer Science	Computer Vision and Image Processing	1

YENEPOYA AYURVEDA MEDICAL COLLEGE				
64.	Dr. Zenica D'Souza, Professor	Kayachikitsa	clinical research	1
65.	Dr. Jnanesh Marathe, Assistant Professor(Sanskrit)	Samhita Siddhanta and Sanskrit	Sanskrit	3
YENEPOYA PHYSIOTHERAPY COLLEGE				
66.	Dr. Asir John Samuel Additional Professor/Vice Principal	Physiotherapy	Paediatrics/Neonatal physiotherapy, neuro physiotherapy, knee osteoarthritis, qualitative research	2
YENEPOYA ALLIED AND HEALTH CARE PROFESSIONS				
67.	Dr. Mamatha Kunder Associate Professor Stage I	Biochemistry	Serine proteases & SERPINS	1
68.	Dr. Deeksha K. Assistant Professor	Basic Science	Cell and molecular biology Basic research in Ophthalmology	1
YENEPOYA RESEARCH CENTRE				
69.	Dr. Bipasha Bose Professor	Basic Science	-	1
70.	Dr. Rajesh P. Shastry Associate Professor Stage 1		Microbiology Infectious diseases	4
71.	Dr. R.C.Koumar Associate Professor		Molecular Cell Biology, Cancer Biology and Epigenetics	1
72.	Dr. Abhinand C.S. Assistant Professor		Computational Biology and Bioinformatics, Viral informatics	1
73.	Dr. Arun Bhagwath Director and Professor		-	2
74.	Dr. K. Sudhakarprasad Associate Professor Stage II		-	1
75.	Dr. Shankar Prasad Das, Associate Professor, Senior Scientific Officer		-	1
76.	Dr. T.S. Keshava Prasad, Professor		-	2
77.	Dr. Bhagya N., Associate Professor		Phytochemistry, cancer cell signalling	3
78.	Dr. Bhagwan Rekadwad, Associate Professor		Microbiology, Biotechnology and Bioinformatics	5
79.	Dr. Shamprasad Varija Raghu Associate Professor, Stage II		Neurobiology, Radiation biology	1
80.	Dr. Rajas M. R. Assistant Professor Stage 1	-	2	
81.	Dr. Mohan Babu Appaiahgari Associate Professor Stage I	Molecular virology, Vaccine research, Antiviral drug discovery & In vitro diagnostics	2	

Sd/-Dr. Gangadhara Somayaji K.S.
Registrar
Yenepoya (Deemed to be University)



REGULATIONS GOVERNING THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.) PROGRAM OF YENEPOYA (DEEMED TO BE UNIVERSITY)

**(Amended as per the Notification from UGC, MHRD, New Delhi, dated 7th
November 2022)**

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PREAMBLE

The Ph.D. program was initiated in Yenepoya (Deemed to be University) in 2008 adapting the UGC regulations. In lieu of the UGC Regulations, 2022, which specifies the “Minimum Standards and Procedure for the award of PhD degree” the regulations governing the Ph.D. program at Yenepoya (Deemed to be University) have been amended. All the important features of the UGC Regulations, 2022, have been incorporated into this regulation.

01. NOMENCLATURE OF THE DEGREE

The degree awarded by the University shall be called “DOCTOR OF PHILOSOPHY” in the “Faculty of Medicine / Dentistry/ Nursing / Pharmacy/ Ayurveda/ Homeopathy/ Naturopathy and Yogic sciences/ Science/ Allied Healthcare Professions/ Arts and Social Sciences/ Commerce and Management Studies.

02. DISCIPLINES

Admission to Ph.D. program will be made under the following faculty, covering a wide range of disciplines.

- 2.1 FACULTY OF MEDICINE
- 2.2 FACULTY OF DENTISTRY
- 2.3 FACULTY OF NURSING
- 2.4 FACULTY OF SCIENCE
- 2.5 FACULTY OF ALLIED AND HEALTHCARE PROFESSIONS
- 2.6 FACULTY OF PHARMACY
- 2.7 FACULTY OF AYURVEDA
- 2.8 FACULTY OF HOMEOPATHY
- 2.9 FACULTY OF COMMERCE AND MANAGEMENT
- 2.10 FACULTY OF ART AND SOCIAL SCIENCES
- 2.11 FACULTY OF NATUROPATHY AND YOGIC SCIENCES

All subjects coming under the scope of respective faculties or any other new faculty approved by the University will be included from time to time.

03. ELIGIBILITY FOR ADMISSIONS

3.1 Candidates with the following qualifications are eligible for enrolment for Ph.D. program:

- a) Medical and Dental : Candidates with M.D. or M.S., or Medical P.G. Diploma, M.D.S., or M.Sc. in medical subjects, including MSc in Oncology and MSc in Human Genetics are eligible for enrollment for Ph.D course.
- b) Physiotherapy: Candidates with a PG degree in Physiotherapy, i.e., MPT degree or a Master's degree considered as equivalent by Yenepoya (Deemed to be University) are eligible for enrollment to Ph.D course in Physiotherapy.
- c) Pharmacy: Candidates with M.Pharm, Pharm-D and Pharm – D (PB) degree awarded by a College/University recognized by AICTE/PCI/RGUHS or a P.G. Degree in Pharmacy are eligible for enrollment for Ph.D course in Pharmacy of this University.
- d) Ayurveda Candidates with Ayurveda Vachaspathi (M.D (Ayu) /MS (Ayu) in Ayurveda in all the specialties or an equivalent P.G. qualification awarded by any other College/University recognized by CCIM are eligible for enrollment for Ph.D course in Ayurveda of this University.
- e) Homeopathy: Candidates with M.D. in Homoeopathy degree or an equivalent P.G. qualification awarded by a College/ University recognized by CCH are eligible for enrollment for Ph.D course in Homoeopathy of this University.
- f) Nursing: Candidates with M.Sc/M.Phil in Nursing degree or a P.G. Degree in Nursing considered as equivalent by Yenepoya (Deemed to be University) awarded by a College/University recognized by INC.
- g) Arts, Social Sciences, Science, Commerce, Management: MA (Master of Arts), M.Sc. (Master of Science), M.Com (Master of Commerce), MBA (after BA/B.Sc/B.Com), PG Diploma in Management of 2-year duration (after BA/B.Sc/B.Com).

3.2 Candidates who have completed

- a) A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or

b) a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the UGC, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

c) A candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed, is permitted to register for Ph.D. Program.

d) For candidates who have cleared M.Phil degree, and candidates who have cleared the MD/MS/DM/MCh/DNB/MDS Course, a relaxation of 5% of marks, from 55% to 50% or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non creamy layer) differently – abled and other categories.

Candidates having no clear grading / marks in their Post-Graduate examination shall be considered for the Ph.D program subject to approval from the Equivalence Committee.

e) Admission of International students in Ph.D. programme

The HEIs may decide their own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.

(Refer **GUIDELINES FOR ELIGIBILITY CRITERIA FOR ADMISSION (CLAUSE NO. 3)**)

04 DURATION OF RESEARCH WORK

4.1 Ph.D. programme shall be for a minimum period of THREE years, including course work and a maximum of SIX years from the date of admission to the Ph.D programme..

4.2. Extension of Duration :

- 4.2.1 A maximum of an additional TWO (2) years can be given through a process of re-registration as per the Statute/Ordinance of the Yenepoya (Deemed to be University); However the the total period for completion of a Ph.D. programme in such cases should not exceed EIGHT (8) years from the date of admission in the Ph.D. programme.
- 4.2.2 The women candidates and Persons with Disability (more than 40% disability) are allowed a relaxation of TWO years for Ph.D. in the maximum duration. However, the total period for completion of a Ph.D. programme in such cases shall not exceed TEN (10) years from the date of admission to the Ph.D. programme
- 4.2.3 In addition, the women candidates may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of Ph.D.

05 PROCEDURE FOR ADMISSION

5.1 Application Procedure

5.1.1 Application for enrolment for the PhD program shall be made in the prescribed forms (Annexure 1 :**Format of the Application for the Ph.D. Program**), which shall be made available at the office of the Registrar /website, Yenepoya (Deemed to be University), following a website/ newspaper notification of the same. The filled in application forms along with proposed area of work, routed through the proper channel, shall be submitted to the Registrar, Yenepoya (Deemed to be University), on or before the last date prescribed in the notification on payment of the requisite fee.

5.1.2. The candidate shall furnish the following information along with the application for enrolment :

5.1.3 Name of the department and Institution where the candidate proposes to work and conduct research

5.1.4 Proposed research work – work outline

5.1.5 No objection certificate from the Head of Department and Head of Institution if employed.

5.2 Procedure for Admission

5.2.1 Exemption from appearing for the entrance test

Admission to Ph.D. shall be subject to passing the entrance test conducted by the Yenepoya (Deemed to be University). However, candidates who have qualified in the centrally conducted UGC-NET/ UGC-CSIR NET (including JRF/SLET/GATE/DBT/DST/DOE/AYUSH/ KSET/GPAT/teacher fellowship) exams are exempted from appearing in the entrance test.

Candidates who have passed M.Phil. degree examination from any recognized University, with a minimum of 55% aggregate marks are also exempted from the entrance test.

5.2.2 Yenepoya (Deemed to be University) shall admit candidates by a two stage process, through entrance test and interview :

a) The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the Centre(s) notified in advance.

b) Candidates with a minimum of 50% will be considered as eligible for the interview. An interview/*viva-voce* shall be organized by the Ph.D. Admission committee constituted by the Yenepoya (Deemed to be University) (Annexure 4: STRUCTURE OF THE Ph.D. ADMISSION COMMITTEE). For candidates who have not secured 50% in the entrance: in case of candidates belonging to general merit category a minimum of 45%, and in case of SC/ST/OBC category a minimum of 40% in the entrance exam is essential..

c) For the selection for candidates based on the entrance test, weightage of 70% shall be given for the entrance exam and 30% for the interview.

However, the aggregate of both entrance exam marks and the interview marks cannot be less than 50% in these categories also.

5.2.3 The candidates are required to discuss their research interest/area through a presentation before a Ph.D. Admission Committee, duly constituted by the Yenepoya (Deemed to be University).

5.2.4 The interview/*viva voce* shall also consider the following aspects, viz. whether:

a) the candidate possesses the competence for the proposed research

b) the research work can be suitably undertaken at the Institution/College

c) the proposed area of research can contribute to new/additional knowledge.

5.2.5 Applications, the results of the entrance exam, interview and the brief research proposals of the candidates will be placed before a Ph.D. Admission Committee constituted by the University. The Committee will examine and review the research proposal and if the committee *prima facie* finds the applicant qualified and suitable, will make recommendations to the University for provisional registration.

06. ALLOCATION OF RESEARCH SUPERVISOR

Eligibility criteria to be a research supervisor, co-supervisor, number of Ph.D. scholars permissible per supervisor, etc.

- 6.1 The allocation of Research Supervisor for a selected research scholar shall be decided by the Department based on the consensus of the whole department concerned, depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/*viva voce*.
- 6.2 In case of topics which are of inter disciplinary nature, where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.

6.3 Eligibility criteria to become a research supervisor :

(Annexure 12 : FORMAT OF THE APPLICATION FOR RECOGNITION AS RESEARCH SUPERVISOR / CO-SUPERVISOR FOR THE Ph.D. PROGRAM)

All regular Professors, Associate Professors / Readers and Assistant Professors / Lecturers / Research faculty working full time holding a Doctorate Degree (DM/ MCh/ Ph.D.) are eligible to be considered as Research Supervisors with the approval of the Board of studies subject to the following:

- a) Any **permanent faculty member working as Professor/Associate Professor** of the University/ College affiliated to the University/ units of the Yenepoya (Deemed to be University), with at least FIVE research publications, first / second / corresponding author, in peer-reviewed or refereed journals after obtaining PhD, and any permanent faculty member working as **Assistant Professor** of the university/ college affiliated to the University/ units of the Yenepoya (Deemed to be University) with a minimum of FIVE years teaching/research experience with a Ph.D. degree and at least THREE research publications, first / second / corresponding author, in peer-reviewed or refereed journals may be recognized as Research Supervisor in the university where the faculty member is employed or in its affiliated Post-graduate Colleges/institutes. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated Post- graduate Colleges/institutes would be in violation of these Regulations.

- b) Provided that in areas/disciplines where there is no, or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing. In departments where there are no eligible research supervisors for the PhD program, the Institution may relax the above condition for recognition of Professors/Associate Professor with additional degrees, viz., DM/MCh, as research supervisors, without applying any other clauses. However, for Assistant Professors with additional degrees, viz., DM/MCh, at least THREE original research publications is required for recognition as research supervisors.
- c) For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by Yenepoya (Deemed to be University) the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfill the above requirements.
- d) Professors in the Post-Graduate departments of the constituent colleges / units of the Yenepoya (Deemed to be University) who do not have Doctoral degree, viz. DM / MCh / Ph.D., in the concerned/ related subject shall be deemed to be the recognized Research supervisors in their subjects provided they have at least 10 years of teaching experience after having acquired their Masters Degree of which 5 years shall be Post-graduate teaching in the relevant discipline. They also should have minimum FIVE original research publications in indexed journals to his/her credit of which at least THREE as first / second / corresponding author, as evidence of active research involvement.
- e) In any specialty, if a suitable research supervisor with adequate qualification fulfilling all the other criteria to become a Ph.D. research supervisor is not available, a recognised research supervisor from the relevant / related subject of another faculty may be considered.
- f) Co-Supervisors: Cosupervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.
- g) Adjunction faculty: Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
- h) In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School/Centre/College/University may be appointed.

6.4 Maximum number of scholars a Research Supervisor can supervise:

- 6.4.1 An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
- 6.4.2 Whenever the number of candidates registered under a Research Supervisor has reached the maximum, a Research Supervisor becomes eligible to supervise another Ph.D. candidate only after the submission of the thesis by any one of the Ph.D. candidates already registered under him/ her, or a vacancy arises due to discontinuation of any registered candidate.
- 6.4.3 A Research supervisor recognized by more than one University, he /she shall give an undertaking of the number of candidates working under his / her guidance.
- 6.4.4 Guiding International students: Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 6.4.1 above. At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number prescribed in clause 6.4.1 and clause 7.1.
- 6.4.5 Co-supervisor working in an institution other than the constituent unit of the University (Refer GUIDELINES FOR RECOGNITION OF INSTITUTIONS AS RESEARCH COLLABORATORS FOR Ph.D PROGRAM and Annexure 2) should fulfil the requirements to the satisfaction of the Yenepoya (Deemed to be University) regarding their expertise.
- 6.4.6 A Research supervisor shall not undertake to offer guidance for Ph.D. work to any of his/her first degree relatives.
- 6.4.7 Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
- 6.4.7 The allotment/ allocation of research supervisor shall not be left to the individual student or teacher.

6.5 Change of Research Supervisor

6.5.1 Once registered for Ph.D. program, ordinarily no change of Research supervisor is allowed for any candidate. In exceptional cases, with no objection certificate from the Research supervisor and willingness from the other recognised research supervisor (of the same specialty) to Guide the candidate (both letters endorsed by the Head of the Institution), the University may consider the change of Research supervisor.

6.5.2 The Research Advisory Committee may consider the request-

- (i) If the Scholar has completed two years of his/her research program and the Research supervisor is transferred to another area, the Co-supervisor can guide the scholar with the permission of the Research Advisory Committee.
- (ii) If the Scholar is interested to move along with the Research supervisor in the event of the transfer of the Research Supervisor, the scholar will be permitted with the permission of the Research Advisory Committee.
- (iii) In case the Research supervisor is leaving the Institution permanently or on deputation elsewhere or otherwise, for a period of more than one year, the candidate will be allotted to the co-supervisor or a new research supervisor.

6.5.3 In case of relocation of Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any funding agency. The scholar will however give due credit to the parent Research Supervisor and the institution for the part of research already done.

07. Ph.D. COURSE WORK

Provisionally registered Ph.D. scholars shall be required to undertake course work for a minimum period of one semester at the University Campus.

7.1 The course work shall be treated as preparation for Ph.D. course work examination and include research methodology, biostatistics, research ethics, computer applications, review of published research in the relevant field, training, etc. The minimum qualifying requirement for allowing the Ph.D. student to proceed further with the research work shall be successful completion of the Ph.D. course work examination.

- 7.2 The Credit requirement for the Ph.D. coursework shall be a minimum of 12 credits, including a “Research and Publication Ethics” course as notified by UGC vide D.O. No. F.1- 1/2018 (Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.
- 7.3 A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for Ph..D. degree.
- 7.4 All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.
- 7.5 The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee of the research scholar.
- 7.6 All candidates admitted to the Ph.D. program shall be required to complete the course work prescribed by the Department during the initial one or two semesters.
- 7.7 Candidates already holding M. Phil. degree and admitted to the Ph.D.programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department.
- 7.8 Evaluation of the Ph.D. course work will be done by conducting a Ph.D. course work examination by the University.
- 7.9 A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the thesis.
- 7.10 If found necessary course work may be carried out by doctoral candidates in sister departments/constituent units within the University for which due credit will be given to them.

(Annexure 4 : SCHEME OF Ph.D. COURSE WORK, EXAMINATION, EVALUATION AND DECLARATION OF RESULTS; Annexure 5: FORMAT OF THE WORK PROPOSAL SYNOPSIS)

7.11 All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.

8. Research Advisory Committee and its functions

There shall be a Research Advisory Committee, or an equivalent body for similar purposes as defined in the Statutes/Ordinances of the Institution concerned, for each Ph.D. scholar.

8.1 The Research Supervisor of the scholar shall be the Convener of this Committee.

This Committee shall have the following responsibilities:

8.1.1 To review the research proposal and finalize the topic of research;

8.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.

8.1.3 To periodically review and assist in the progress of the research work of the research scholar.

(Annexure 6 : FORMAT OF THE HALF YEARLY PROGRESS REPORTS)

8.2 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the Institution/College with a copy to the research scholar. (Annexure 7: FORMAT OF THE SLIDES FOR THE PROGRESS REVIEW)

8.3 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the Institution/College with specific reasons for cancellation of the registration of the research scholar.

09 EVALUATION AND ASSESSMENT METHODS, MINIMUM STANDARDS/CREDITS FOR AWARD OF THE DEGREE, ETC.

9.1 Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in sub-clauses above, the Ph.D. scholar shall be required to undertake research work and produce

a draft thesis within a reasonable time, as stipulated by the Institution concerned based on these Regulations.

- 9.2 Prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the Research Advisory Committee of the Institution concerned, which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.
- 9.3 Ph.D.scholars must publish at least one original research paper in National or International, indexed journal and make at least two paper presentations at conferences/ seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.
- 9.4 The candidate will be eligible to submit the final summary on getting approval from the Research Advisory Committee. (Annexure 8: FORMAT OF THE FINAL SUMMARY /SYNOPSIS)
- 9.5 Prior to submission of the final summary (synopsis) of the thesis, the student shall make a presentation in the Department that may be open to all the faculty members and research students for getting a feedback and comments, which may be suitably incorporated into the draft thesis under the advice of the supervisor.
- 9.6 All the Ph.D. scholars shall submit an application along with the final summary (six copies) containing the summary of the research work done, duly signed by the Research Supervisor and Co-Research Supervisor, to the Controller of Examinations at least three months before submission of final thesis. (Annexure 9: FORMAT OF THE APPLICATION FOR THESIS SUBMISSION)
- 9.7 “Certificate of Plagiarism check” of the final summary endorsed by the Research supervisor and research co-supervisor shall be submitted along with the final summary.(Annexure 10: DETAILS OF PLAGIARISM CHECK)
- 9.8 The Controller of Examinations, shall proceed with the constitution of panel of three Examiners (including Research Supervisor as internal examiner) from a panel of not less than ten experts submitted by the Research Supervisor, and approved by the Board of Examiners for the adjudication of the Ph.D. thesis.
- 9.9 The Controller of Examinations shall forward the summary to two appointed external examiners. Upon receipt of acceptance in writing by the external examiners the Controller of Examinations shall then send the thesis to them for evaluation.

- 9.10 The candidate shall submit THREE copies of his/her thesis along with a soft copy (CD) within 6 months after submission of the summary duly signed by the research Supervisor and Co-supervisor to the Controller of Examinations. (Annexure 11 : FORMAT OF THE THESIS). In case the research scholar fails to submit the thesis within six months after the submission of final summary, an extension may be provided with payment of a penal fee.
- 9.11 The final *viva voce* (defense) shall be conducted within six months from the date of submission of the thesis.
- 9.12 A candidate shall also submit along with his/her thesis-
- 9.13 “No due certificates” from the Head of Department and Head of the Institution where he/she has worked, from the University Librarian and from the Finance Officer of the Yenepoya (Deemed to be University).
- 9.14 Research papers published by him/her related to their research topic, during his / her course as Ph.D. scholar.
- 9.15 While submitting for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.
- 9.16 The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least TWO external examiners who are experts in the field and not in employment of the Higher Educational Institution concerned. Such examiner(s) should be academics with a good record of scholarly publications in the field. Wherever possible, ONE of the external examiners should be chosen from outside India. The *viva voce* board shall consist of the Research Supervisor and at least one of the two external examiners and may be conducted online. The *viva voce* shall be open to the members of the Research Advisory Committee/faculty members/research scholars, and students. Higher Educational Institutions may formulate appropriate rules/ordinances to effect the provisions of this Regulations.
- 9.17 The public *viva voce* of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conducting the *viva-voce* examination. If one of the evaluation reports of the external examiner in case of Ph.D. thesis, is unsatisfactory and does not recommend *viva-voce*, the Institution shall send the thesis to another external examiner out of the approved panel of examiners and the *viva-voce* examination shall be held only if the report of the latest examiner is satisfactory. If the

report of the latest examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

9.18 The Institutions shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.

10. Academic, research, administrative, and infrastructure requirements to be fulfilled by Colleges for getting recognition for offering Ph.D. programmes

10.1 Post-graduate Colleges offering 4-year Undergraduate Programmes and/or Post-graduate Programmes, may offer Ph.D. programmes, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per these Regulations.

10.2 Colleges and research institutions established by the central government or a State government whose degrees are awarded by Higher Educational Institutions shall offer Ph.D. programmes provided they have:

- i. At least two faculty members in a college or two Ph.D.-qualified scientists in the research institution.
- ii. Adequate infrastructure, administrative support, research facilities and library resources as specified by the HEI.

11. Ph.D. through Part-time Mode

11.1 Ph.D. programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.

11.2 The Yenepoya (Deemed to be University) shall obtain a “No Objection Certificate” through the candidate for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating the following:

- i. The candidate is permitted to pursue studies on a part-time basis.
- ii. His/her official duties permit him/her to devote sufficient time for research.
- iii. If required, he/she will be relieved from the duty to complete the course work.

11.3 Notwithstanding anything contained in these Regulations or any other law, for the time being in force, no Higher Educational Institution or research institution of the Central government or a State Government shall conduct Ph.D. programmes through distance and/or online mode.

12 Issuing a Provisional certificate

Prior to the actual award of the Ph.D. degree, the degree- awarding Higher Educational Institution shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations.

13 Award of Ph.D. degrees prior to Notification of UGC Regulations 2022

Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these Regulations or UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016. Nothing in these Regulations shall impact the M.Phil. degree programmes commencing prior to the enactment of these Regulations.

14 . DEPOSITORY WITH INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the /Ph. D. Thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/ Colleges.

The University may frame guidelines for further clarity in the implementation of the regulations.

The PhD regulations outlined by other statutory bodies, such as MCI, DCI, INC, etc., maybe considered and implemented time to time.

LIST OF GUIDELINES

GUIDELINES :

1. Guidelines for eligibility criteria for admission (clause No. 3)
2. Guidelines for FULL / PART TIME CANDIDATES (clause No. 4)
3. GUIDELINES FOR Recognition of Institutions as Research Collaborators for Ph.D Program (CLAUSE NO. 6.4.6)

GUIDELINES

1. GUIDELINES FOR ELIGIBILITY CRITERIA FOR ADMISSION (CLAUSE NO. 3)

1. Teacher candidates, who fulfill the qualifications specified in the regulations and are regular (completed probation period) (i) teaching faculty (ii) non teaching staff in a department / Constituent college of the Yenepoya (Deemed to be University) may be permitted to register himself / herself as a part time scholar for Ph.D degree under a Supervisor recognized by this University.
2. Part time candidates shall be required to work under the supervisor directly. A period of 30 days maybe availed by internal part time candidates during the prescribed period of research work for data collection, discussion, etc. This period shall be treated as study leave subject to approval of the University. This leave can be availed to a maximum of 10 days per year not exceeding 30 in the first three years of the duration of the PhD study. The Supervisor shall submit certificate of attendance of candidate (with dates) to the Registrar. In lieu of this study leave facility for the Ph.D program, candidates who avail this leave shall be required to give an bond to serve the institution for a period of 2 years after completion of their PhD.
3. Candidates possessing any one of the qualifications prescribed under the regulations from this University or from any other University recognized as equivalent thereto and employed as a teacher in National/State level Institutions recognised the statutory bodies or Universities in India, may be permitted to register for the Ph.D program on a part time basis provided, they fulfill the qualification stipulated and permission / No Objection Certificate is obtained from the respective organizations/ Institutions/ Universities for pursuing Ph.D Degree of this University while continuing in employment.

GUIDELINES FOR FULL / PART TIME CANDIDATES (CLAUSE NO. 4)

1. Full time Candidates

- a. A full time PhD scholar is the one who pursues research work, leading to Ph.D. degree as a full time student, with /without a fellowship/scholarship and without performing any other job or assignment. He/she shall attend the department for research on all working days, except for periods when he/she is on study leave. Full time students on deputation from other Institutions are required to confirm their status as a full time student by a relevant certificate from their employer or funding agency duly endorsed by the research supervisor, Head of the Department

and Head of the Institution to the effect that they are not assigned any other job except doing research leading to Ph.D. degree.

- b. Candidates registered as full time researchers for the PhD program, shall be available in the department throughout the program and the Head of the department shall maintain their attendance in the department.

2. Part time candidates

- a. A part time PhD candidate is one who is employed and pursues his/her research work leading to Ph.D. degree as a part time researcher in Institutes affiliated to Yenepoya University or recognised as research collaborator by Yenepoya (Deemed to be University), in addition to his/her duties as an employee. Such Ph.D scholars are required to confirm their part time status by a relevant certificate and no objection from their employer which is endorsed by the research supervisor, Head of the Department and Head of the Institution to the effect that they are part time research scholars.
 - b. Candidates registered on part-time basis should be in regular contact with the concerned research supervisors.
 - c. Candidates registered on part-time basis and the study setting is not Yenepoya (Deemed to be University) campus or neighbouring areas should work at least for a minimum of 150 DAYS during the research period directly under the supervision of the Supervisor of this university. In addition to the Research supervisor from this University, they shall have a Co-research supervisor from the selected study setting recognised by the Yenepoya (Deemed to be University). The Research supervisors of the candidate shall submit the certificate of attendance (with dates) to the Registrar along with the half-yearly progress reports.
3. A candidate can shift over from full time to part time and vice versa within the University on approval from the Research Supervisor, Head of Institution and Board of Post Graduate Teaching and Research, depending on the merit of the case, provided he/she fulfils the criteria laid down by the University and obtains prior permission from the Registrar of the University.
 4. The Vice Chancellor may on the recommendation of the research Supervisor and Head of the Institution permit a PhD scholar to work elsewhere for six months at a time, if it is in the interest of the research work.

5. The Head of the Institution on the recommendation of the research research supervisor may grant two weeks leave to a full time candidate during the calendar year.

GUIDELINES FOR Recognition of Institutions as Research Collaborators for Ph.D Program Clause No.

A candidate shall be permitted to pursue research activities for the degree of Doctor of Philosophy (Ph.D) in any one of the **Post Graduate Departments** of Institutions which are recognized as having necessary infrastructure and facilities for carrying out research in the subject of study concerned, provided that the department has a qualified Research supervisor and the institute should have been recognised by this university as research collaborator after a peer visit by a team appointed by the Yenepoya (Deemed to be University) for this purpose.

The criteria for the institution seeking recognition as research collaborator by this University for the Ph.D program is as follows:

- a. Should preferably be a Centre with Academic excellence/ Institutes of National importance.
- b. Should have been in existence and actively functioning for at least 5 years and recognised by the statutory bodies.
- c. Should preferably, be a centre of research activity as evidenced by ongoing research projects funded / sponsored by any reputed funding agencies.
- d. Should have published research papers in peer reviewed scientific journals or indexed journals.
- e. Should have specifically equipped research laboratories with the requisite infrastructure and facilities for the proposed research project leading to Ph.D. degree.

The Department/Centre, which proposes to take scholars for the Ph.D program at Yenepoya (Deemed to be University) should have at least one staff member with a Postgraduate qualification in the concerned specialty with a total of 10 years teaching / research experience after his / her PG degree, out of which 5 years should be Postgraduate teaching. The faculty should have minimum five scientific publications in indexed peer reviewed journals to his/her credit of which at least three as principal author or corresponding author, as evidence of active research involvement.



Ph.D. PROGRAM

ACADEMIC CALENDAR

JANUARY 2025 BATCH – JANUARY 2025 - JANUARY 2030 (6 YEARS)

2025

Month	Date	Events	
August	30.07.2024	Call for the application	
September	30.09.2024	Last date for submission of completed applications	
November	12.11.2024	Entrance Examination	
December	22.11.2024	Announcing result of Entrance Examination	
	02.12.2024 03.12.2024 04.12.2024 05.12.2024	Interview (Preliminary)	
	16.12.2024 17.12.2024 18.12.2024 19.12.2024 20.12.2024 21.12.2024	Final PhD Admission Committee Interview	
	January	16.01.2025 17.01.2025	Course work reporting date
		20.01.2025	Orientation programme for the January 2025 batch – provisionally selected full-time and part-time Ph.D. scholars.
		20.01.2025	Date of provisional registration for the January 2025 batch for Ph.D. scholars who have completed all the formalities.
		21.01.2025	Ph. D course work starts for the January 2025 batch
	March	20.03.2025	1st Internal Examination Paper II
21.03.2025		Review of Literature Presentation	
April	07.04.2025	E Poster - Submission	
	14.04.2025	E Poster Presentation - Evaluation – In-house evaluators Group A & B	
May	21.05.2025	2nd Internal Examination Paper II	
	22.05. 2025	Review of literature presentation	
	22.05. 2025	Last date of Course Work	

December	2 nd week	Pre- Ph.D. Examination Paper II – Theoretical Foundation (tentative)
	4 th week	Pre- Ph.D. Exam : Evaluation of Paper III Synopsis presentations
2025		
January	2 st week	Announcement of results of Ph.D. coursework examination. Registration for the Ph.D. program of successful candidates is confirmed.
	4 rd week	Submission of the work proposal synopsis for approval from Research advisory committee (RAC)
February	1 st week	Work proposal synopsis presentation of January 2025 batch
March	Last week	Deadline for submission of the work proposal synopsis approved by RAC, SRB and YUEC
December	16.12.2025	Deadline for submission of 1 st half-yearly progress report of the duration June 2025 – November 2025 (6 months)
2026		
February	06.02.2026-16.02.2026	Review of the 1 st half-yearly progress report Doctoral Adviosry Review Committee presentations, Session I 2026
	17.02.2026-25.02.2026	Doctoral Adviosry Review Committee presentations, Session II 2026
June	16.06.2026	Deadline for submission of IInd half-yearly progress report of the duration December 2025 – May 2026 (6 months)
July	10.07.2026-18.07.2026	Review of the IInd half-yearly progress report. Doctoral Adviosry Review Committee presentations, Session III 2026
	21.07.2026-30.07.2026	Doctoral Adviosry Review Committee presentations, Session IV 2026
December	15.12.2026	Deadline for submission of IIIrd half-yearly progress report of the duration June 2026 – November 2026 (6 months)
2027		
January	22.01.2027	Minimum period (3 years) for the full-timer Ph.D. scholars of January 2025 batch is completed
February	06.02.2027-15.02.2027	Review of the IIIrd half-yearly progress report Doctoral Adviosry Review Committee presentations, Session I 2027
	16.02.2027-25.02.2027	Doctoral Adviosry Review Committee presentations, Session II 2027
June	15.06.2027	Deadline for submission of IVth half-yearly progress report of the duration December 2026 – May 2027 (6 months)
July	15.07.2027-24.07.2027	Review of the IVth half-yearly progress report Doctoral Adviosry Review Committee Presentations, Session III 2027 Pre-colloquium for full-time / part-time Ph.D. scholars who have

		completed their objectives and recommended by the RAC, in session III and IV
	26.07.2027 31.07.2027	Doctoral Adviosry Review Committee Presentations, Session IV 2027
December	15.12.2027	Deadline for submission of Vth half-yearly progress report of the duration June 2027– November 2027 (6 months)
2028		
January	22.01.2028	Minimum period (4 years) for the part-timer Ph.D. scholars of January 2025 batch is completed
February	10.02.2028 19.02.2028	Review of the V th half-yearly progress report Pre-colloquium for full-time / part-time Ph.D. scholars who have completed their objectives and recommended by the RAC, in session I and II Doctoral Adviosry Review Committee Presentations, Session I 2028
	21.02.2028 29.02.2028	Doctoral Adviosry Review Committee Presentations, Session II 2028
June	16.06.2028	Deadline for submission of VI th half-yearly progress report of the duration December 2027 – May 2028 (6 months)
July	14.07.2028 24.07.2028	Review of the VIth half-yearly progress report Pre-colloquium, in session III and IV, for part-time/full time Ph.D. scholars who have completed their objectives and recommended by the RAC Doctoral Adviosry Review Committee Presentations, Session III 2028
	24.07.2028 28.07.2028	Doctoral Adviosry Review Committee Presentations, Session IV 2028
December	15.12.2028	Deadline for submission of VIIth half-yearly progress report of the duration June 2028– November 2028 (6 months)
2029		
January	22.01.2029	Maximum period (5 years) for the full-timer Ph.D. scholars of January 2025 batch is completed
February	02.02.2029- 12.02.2029	Review of the VIIth half- yearly progress report Pre-colloquium, in session I and II, forfull time / part-time Ph.D. scholars who have completed their objectives and recommended by the RAC Doctoral Adviosry Review Committee Presentations, Session I 2029
	12.02.2029 - 22.02.2029	Doctoral Adviosry Review Committee Presentations, Session II 2029
June	15.06.2029	Deadline for submission of VIIIth half-yearly progress report of the duration December 2028 – May 2029 (6 months)

July	13.07.2029 25.07.2029	Review of the VIIIth half- yearly progress report Pre-colloquium, in session I and II, for part-time/full time Ph.D. scholars who have completed their objectives and recommended by the RAC Doctoral Adviosry Review Committee Presentations, Session III 2029
	23.07.2029 31.07.2029	Doctoral Adviosry Review Committee Presentations, Session IV 2029
December	15.12.2029	Deadline for submission of IXth half-yearly progress report of the duration June 2029 – November 2029 (6 months)
2030		
January	22.01.2030	Maximum period (6 years) for the part-timer Ph.D. scholars of January 2025 batch is completed
February	02.02.2030 11.02.2030	Review of the IXth half- yearly progress report Pre-colloquium, in session I and II, for part-time / full time Ph.D. scholars who have completed their objectives and recommended by the RAC Doctoral Adviosry Review Committee Presentations, Session I 2030
	12.02.2030- 20.02.2030	Doctoral Adviosry Review Committee Presentations, Session II 2030
June	15.06.2030	Deadline for submission of Xth half-yearly progress report of the duration December 2029 – May 2030(6 months)
July	02.07.2030 10.07.2030	Review of the Xth half- yearly progress report Pre-colloquium, in session III and IV, for part-time / full time Ph.D. scholars who have completed their objectives and recommended by the RAC Doctoral Adviosry Review Committee Presentations, Session III 2030
	12.07.2030- 20.07.2030	Doctoral Adviosry Review Committee Presentations, Session IV 2030
December	16.12.2030	Deadline for submission of XI th half-yearly progress report of the duration June 2030 – November 2030 (6 months)
2031		
January	03.02.2031 11.02.2031	Review of the XIth half- yearly progress report Pre-colloquium, in session I and II, for part-time / full time Ph.D. scholars who have completed their objectives and recommended by the RAC Doctoral Adviosry Review Committee Presentations, Session I 2031
Febuary	12.02.2031 20.02.2031	Doctoral Adviosry Review Committee Presentations, Session II 2031
June	16.06.2031	Deadline for submission of XIIth half-yearly progress report of the duration December 2029 – May 2030 (6 months)
July	02.07.2031	Review of the XIIth half- yearly progress report

	10.07.2031	Pre-colloquium, in session III and IV, for part-time / full time Ph.D. scholars who have completed their objectives and recommended by the RAC Doctoral Adviosry Review Committee Presentations, Session III 2030
	12.07.2031 20.07.2031	Doctoral Adviosry Review Committee Presentations, Session IV 2030
	28.07.2031	Maximum period (6 years) for part-time PhD scholars is completed